## IAP8 Rec'd PCT/PTO 20 DEC 2006

## F-8437

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Josuke NAKATA

Serial No.

10/511,959

Filed

November 10, 2004

For

LIGHT RECEIVING OR LIGHT EMITTING

DEVICE AND METHOD OF MANUFACTURING

THE SAME

Group Art Unit

2814

Examiner

Mursalin B. Hafiz

Express Mail mailing label No. EV 914018709 US

Date of Deposit: December 20, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**Evelis Otero** 

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

Submitted herewith is an Information Disclosure Citation (IDC).

Copies of foreign patent documents are provided.

01/12/2007 LLANDGRA 00000001 10511959

01 FC:1806

180.00 OP

F8437 cross ids {PC20 }.wpd

The listing of references is not a representation that the references are prior art or analogous with respect to the present application. Additionally, unless specifically indicated otherwise, applicants do not vouch for the accuracy of translations provided, if any, and reserve the right to contest the accuracy of translations provided.

Listed references include application(s) owned by the assignee of the present application and that are related to similar subject matter. Also included are references cited in said application(s). Copies of the applications are not provided because their file wrappers are available on line in the PTO. The commonly owned applications or patents are listed below.

Our Docket No.	Serial Number	US Publication Number/Patent Number
F-8121	10/485,845	2004-0238833 A1
F-8220	10/492,561	2005-0127379 A1
F-8279	10/497,324	7,109,528
F-8435	10/511,958	2006-0043390 A1
F-8836	10/552,255	2006-0133073 A1
F-9014	10/569,166	2006-0169992 A1
F-8122	10/485,843	2005-0067622 A1
	10/169,017	

Ser. No. 10/511,959

F-8437

Foreign language references F1-F14 are provided with complete translations. Certain foreign language references cited in the above identified patent applications have had English language equivalent patents substituted therefor.

The fee of \$180.00 for the government fee is provided for in the charge authorization presented in the PTO Form 2038, Credit Card Payment form, provided herewith.

If there is any discrepancy between the fee(s) due and the fee payment authorized in the Credit Card Payment Form PTO-2038 or the Form PTO-2038 is missing or fee payment via the Form PTO-2038 cannot be processed, the USPTO is hereby authorized to charge any fee(s) or fee(s) deficiency or credit any excess payment to Deposit Account No. 10-1250.

Respectfully submitted,

Jordan and Hamburg LLP

Herbert F. Ruschmann

Reg. No. 35,341

Attorney for Applicants

Jordan and Hamburg LLP 122 East 42nd Street New York, New York 10168 (212) 986-2340 F-8437

Form PTO 1 249  U.S. Department of Commerce Patent and Trademark Office (Rev. 7-80)		Atty. Docket No.: F-8437 Serial No.: 10/511,959						
(Rev. 7-80)	Rev. 7-80) RMATION DISCLOSURE CITATION  (Use several sheets if processory)		Applicant: Josuke NAKATA					
2-44F (F (Use several sheets if necessary)		Filing Date: November 10, 2004 Group: 2814						
	_		U.S. PATENT DOC	CUMENTS				
Examine I	_	Document Number	Date	Name	Class	Subclass	Filing Date	
initial	U1	US- 2004-0238833	12/2/2004	Nakata			2/26/2004	
	U2	US- 2005-0127379	6/16/2005	Nakata			5/3/2004	
	U3	US- 7.109,528	9/19/2006	Nakata				
	U4	US- 2006-0043390	3/2/2006	Nakata			11/10/2004	
/	U5	US- 2006-0133073	6/22/2006	Nakata et al.			10/26/2005	
	U6	US- 2006-0169992	8/3/2006	Nakata			3/3/2006	
	U7	US- 5,469,020	11/21/1995	Herrick				
	U8	US- 3,433,676	3/18/1969	F.S. Stein				
	U9	US- 5,538,902	7/23/1996	Izu et al.				
	U10	US- 5,428,249	6/27/1995	Sawayama et al.				
	U10	US- 3,038,952	6/12/1962	Ralph Eugene L.				
	U12	US- 3,350,775	11/7/1967	Albert Iles Peter				
	U13	US- 4,224,081	9/23/1980	Kawamura et al.				
	U14	US- 3,998,659	12/21/1976	Wakefield				
	U15	US- 4,021,323	5/3/1977	Kilby et al.				
	U16	US- 4,582,588	4/15/1986	Jensen et al.				
	U17	US- 2006-0086384	4/27/2006	Nakata			11/10/2004	
	U18	US- 5,028,546	7/2/1991	Hotchkiss			11/10/2004	
	U19	US- 5,431,127	7/11/1995	Stevens				
	U20	US- 4,583,588	4/22/1986	Franzolini et al.				
	U21	US- 6,204,545	3/20/2001	Nakata				
	U22	US- 6,294,822	9/25/2001	Nakata			1	
-	U23	HC 4 100 010	11/21/1978	Wakefield				
-	U24	US- 5,419,782	5/30/1995	Levine et al.	<b></b>			

U25	US- 6,265,242	7/24/2001	Komori et al.	
U26	US- 6,355,873	3/12/2002	Ishikawa	
U27	US- 4,581,103	4/8/1986	Levine et al.	
U28	US- 10/169,017		Nakata	
U29	US- 5,498,576	3/12/1996	Hotchkiss et al.	
U30	US- 2005/0067622	3/31/2005	Nakata	
 U31	US- 2004/0238833	12/2/2004	Nakata	
U32	US- 2005/0127379	6/16/2005	Nakata	

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Trans	lation
						Yes	No
 F1	JP- 2001-177132	6/29/2001	JP			Y	
F2	EP- 0 982 780	3/1/2000	EP			Y	
F3	WO- 98/36461	8/20/1998	wo			Y	
F4	JP- 09-162434	6/20/1997	JP			Y	
F5	JP- 10-33969	2/10/1998	JP			Y	
F6	JP- 2001-267609	9/28/2001	JP			Y	<del></del>
F7	JP- 2002-50780	2/15/2002	JP			Y	
F8	JP- 2001-119093	4/27/2001	JP			Y	
F9	JP- 2000-259992	9/22/2000	JP			Y	
F10	JP- 9-49213	2/18/1997	JP			Y	
F11	JP- 8-199513	8/6/1996	JP			Y	
F12	JP- 2002-164554	6/7/2002	JP		<del></del>	Y	
F13	WO- 01/99202	12/27/2001	wo			Y	
F14	EP- 1 255 303	11/6/2002	EP			Y	

TRANSLATION KEY: \* English Abstract. F Concise statement of relevance provided in foreign search report. Concise statement of relevance provided in specification or in attachment to document. Concise statement of relevance provided in IDS. P Relevant portion of document translated. English abstract only - copy of document in pct search.

OTHER INFORMATION DISCLOSURE CITATIONS (Including Author, Title, Date, Pertinent Pages, Etc.)

F-8437

Application Serial No.: 10/511,959 Information Disclosure Listing

PREPARED December 20, 2006

	Document Number	Date	Country	Class	Subclass	Translation	
				<u> </u>		Yes	No
INER Mursalin	3. Hafiz		DATE CONSI	DERED			
EXAMINER Mursalin B. Hafiz  EXAMINER: Initial if reference considered, whether or not citation is in considered.			DATE CONSI				